



Docket No. 5000-5291

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Takefumi NISHIMUTA, et al.

Serial No.: 10/560,706

International (PCT)
Application No.: PCT/JP2004/08218

International
Filing Date: December 13, 2005

For: MIS TRANSISTOR AND CMOS TRANSISTOR

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS dated July 7, 2006, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notification of Missing Requirements (2 pages);
- ☒ Executed Declaration and Power of Attorney (5 pages);
- ☒ Assignment Recordation Form Cover Sheet (1 page, and duplicate);
- ☒ Executed Assignment Document (1 page);
- ☒ Please charge the required fee of \$130.00 to deposit account no. 13-4500, Order No. 5000-5291.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5291. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

07/28/2006 MKAYPAGH 00000107 134500 10560706

01 FC:1617 130.00 DA

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: July 20, 2006

By: Steven F. Meyer
Steven F. Meyer
Reg. No. 35,613

Correspondence Address:
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IAP7 Rec'd PCT/PTO 24 JUL 2006'

Attorney's Docket No. 5000-5291

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CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Response to Notification of Missing Requirements (1 page, and duplicate);
2. Copy of Notification of Missing Requirements (2 pages);
3. Executed Declaration and Power of Attorney (5 pages);
4. Assignment Recordation Form Cover Sheet (1 page, in duplicate);
5. Executed Assignment Document (1 page);
6. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: July 20, 2006

By: Steven F. Meyer
Steven F. Meyer
Registration No. 35,613

Correspondence Address:

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,706	Takefumi Nishimuta	5000-5291

INTERNATIONAL APPLICATION NO.

PCT/JP04/08218

I.A. FILING DATE

06/11/2004

PRIORITY DATE

06/13/2003

27123

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 NEW YORK, NY 10281-2101

CONFIRMATION NO. 9357

371 FORMALITIES LETTER



OC000000019519706

Case 5000-5291 Atty Spm
 Due Date 9-7-06
 Statutory Date 2-7-07
 By ae

Date Mailed: 07/07/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/13/2005
- English Translation of the IA filed on 12/13/2005
- Copy of the International Search Report filed on 12/13/2005
- Preliminary Amendments filed on 12/13/2005
- Information Disclosure Statements filed on 12/13/2005
- Request for Immediate Examination filed on 12/13/2005
- U.S. Basic National Fees filed on 12/13/2005
- Priority Documents filed on 12/13/2005
- Specification filed on 12/13/2005
- Claims filed on 12/13/2005
- Abstracts filed on 12/13/2005
- Drawings filed on 12/13/2005

DOCKET DEPARTMENT

Docketed By: ae Date: 7/14/06
 Audited By: ae Date: 7/19/06
 Filed By: ae Date: 7/19/06

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,706	PCT/JP04/08218	5000-5291